Sensitivity of Phage Lambda upon Variations of the Gibbs Free Energy

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(Received 30 July 2003 and in revised form 28 October 2003)

Abstract. We investigate the sensitivity of production rates (activities) of the regulatory proteins CI (repressor) and Cro at the right operator (O_R) of bacteriophage lambda. The DNA binding energies of CI, Cro, and RNA polymerase are perturbed to check the uncertainty of the activity, due to the experimental error, by means of a computational scattering method according to which the binding energies are simultaneously chosen at random around the literature values, with a width corresponding to the experimental error. In a grand canonical ensemble, with the randomly drawn protein–DNA binding energies as input, we calculate the corresponding activities of the promoters P_{RM} and P_R . By repeating this procedure we obtain a mean value of the activity that roughly corresponds to wild-type (unperturbed) activity. The standard deviation emerging from this scheme, a measure of the sensitivity due to experimental error, is significant (typically >20% relative to wild-type activity), but still the promoter activities are sufficiently separated to make the switch feasible. We also suggest a new, compact way of presenting repressor and Cro data.

1. INTRODUCTION

The situation is simple: we know the genes, but we do not know how they are regulated or transcribed precisely. To understand how genetic networks behave appears a major challenge in the "post genomic" era.¹ An example of a class of small genetic networks, often suitable for theoretical modeling, are the so-called genetic switches. Briefly explained, a genetic (regulatory) switch is a system consisting of a DNA region (operator) and regulatory protein(s) that are able to bind to this operator in order to foster or inhibit the transcription of a certain gene of the DNA.² Several genetic switching systems have been studied extensively, e.g., the tryptophan repressor and the lacOperon in E. coli (procaryotic systems),³ and regulation of the gal genes in yeast⁴ (eucaryotic systems). [Nomenclature note: genes are denoted with italicized letters and their protein products with Roman letters (first letter capitalized).]

In this work we want to study the sensitivity upon variations of the protein–DNA binding energies of the

right operator (O_R) of bacteriophage lambda (phage λ) in respect to experimental error. This operator is described in general elsewhere, e.g., by Ptashne.⁵ In brief, O_R is regulating two important genes to either side (divergent operator): *cI* and *cro*, which in turn act as a template for the regulatory proteins CI and Cro, respectively. Upon injection of DNA from phage λ into an *E. coli* bacterium, O_R is crucially important to decide the fate of the bacterium. That is, the switch funnels entry into the dormant lysogenic state, or into the lytic state leading to the formation of new λ -phages with the help of the facilities of the *E. coli* cell, and, ultimately to the death of the *E. coli* cell. Partially overlapping the switch are the promoter regions P_{RM} , which initiates *cI* transcription, and P_R , which initiates *cro* transcription.

We present a new method for analyzing the sensitivity of the activity at the two promoters of $O_{\rm R}$, taking into account the experimental error in the experiments used to determine the Gibbs free energies (GFEs) of the regulatory proteins and RNA polymerase (RNAP), by

Israel Journal of Chemistry Vol. 44 2004 pp. 309–315

Dedicated to Joshua Jortner on the occasion of his 70th birthday.

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simultaneous random perturbations of the GFEs. A new way of presenting repressor data, where Cro data is implicitly given, is also discussed.

2. MODELING THE SYSTEM

A fundamental assumption in this work is the widely accepted view that the protein–DNA binding/unbinding rates of CI, Cro, and RNAP are in equilibrium, i.e., protein associations with DNA are much faster (fractions of a second) compared with relevant timescales for protein production and thus activity (seconds).⁶⁻⁸ In equilibrium, the protein–DNA associations of CI dimers (CI₂), Cro dimers (Cro₂), and RNAP to O_R of phage λ occur in 40 presently identified experimentally distinguishable states. The associated probability f_s for finding the system in one of the 40 states *s* is^{6.9}

$$f_s = \frac{\exp\left(-\Delta G(s)/(RT)\right) [\operatorname{CI}_2]^{i_s} [\operatorname{Cro}_2]^{j_s} [\operatorname{RNAP}]^{k_s}}{\sum_s \exp\left(-\Delta G(s)/(RT)\right) [\operatorname{CI}_2]^{i_s} [\operatorname{Cro}_2]^{j_s} [\operatorname{RNAP}]^{k_s}}$$
(1)

where R = 8.31 J/(mol K) is the gas constant, T = 310 K is the absolute temperature (37 °C), and $\Delta G(s)$ is the GFE difference between state *s* and the unoccupied state, i.e., protein–DNA binding energy. All concentrations ([X]) refer to the unbound state in solution. *i_s*, *j_s*, and *k_s* are the numbers of CI dimers, Cro dimers, and RNAP bound to $O_{\rm R}$ in state *s*, respectively.

The different $\Delta G(s)$ in eq 1 are in general a sum of GFEs originating from the individual and cooperative bindings of the proteins at the three different binding sites of $O_{\rm R}$ (for details, e.g., see fig. 1 of Shea and Ackers⁷). In this work we apply GFE data of CI from Koblan and Ackers,¹⁰ Cro data from Darling et al.,¹¹ and RNAP data from Ackers et al.⁶ These binding energies are summarized in Table 1.

In Table 2 we list the corresponding 40 different states of protein–DNA associations. Throughout this work we have assumed for simplicity a constant free RNAP concentration of 30 nM.⁷ Note that for lack of Cro data at 37 °C, at which temperature CI and RNAP data are taken, the Cro data used in the following were obtained at 20 °C. It is assumed that the latter data provide a reasonable estimate for the process at 37 °C, and we adjust them by a Boltzmann factor.

The main purpose of this paper is to study the sensitivity of production rates (activities) with respect to the experimental error of the GFEs. To this end, we assume that the transcription initiation (isomerization rate) is the rate-limiting step in protein synthesis.^{15,16} Accordingly, activity will be defined as the product of isomerization rate times the probability of RNAP occupancy of the promoter. The latter probability is a sum of the f_s in eq 1. In what follows, we use the same rate constants as Shea and Ackers in enumerating these activities.⁷

3. RESULTS AND DISCUSSION

In a previous study we analyzed the sensitivity of O_R through a systematic one-by-one perturbation scheme of the GFEs, with a data set without monomer–dimer equilibrium for Cro.¹⁷ Each individual GFE (corresponding to those in Table 1) was perturbed ±1 kcal/mol, one-by-one, whereupon the change in activity compared to wild-type (unperturbed) activity was calculated. Bakk et al.¹⁷ show in this work that for a lysogen the sensitivity of the activity is low (upon CI and Cro perturbations), while this sensitivity is increased for protein concentrations around induction where the λ -switch is turning over from the lysogenic to the lytic pathway.

The novel feature in the present work is that we perform a computational scattering method, where the

Table 1. Protein-DNA binding energies (GFEs) for CI from Koblan and Ackers,10 Cro from Darling et al.,11 and RNAP from Ackers et al.6 All GFEs are given in kcal/mol and limits (±) correspond to 67% confidence intervals. ΔG_1 is the GFE associated with the binding between CI and operator site $O_{\rm R}$ 1, etc. (see, e.g., Ptashne⁵ for an explanation/illustration of the different operator sites). ΔG_{12} is the GFE associated with cooperative binding between CI at $O_{\rm R}1$ and $O_{\rm R}2$, etc. GFEs with a prime (e.g., $\Delta G_{1'}$) correspond to Cro data, otherwise analogous to CI notation. $\Delta G_{\rm RM}$ and $\Delta G_{\rm R}$ are GFEs associated with binding of RNAP to $P_{\rm RM}$ and $P_{\rm R}$, respectively. Experimental data are obtained in vitro in 200 mM KCl, resembling "physiological" conditions.6,12 CI and Cro are both assumed to obey a monomerdimer equilibrium in solution, where the free energies of dimerization are -11.0 kcal/mol¹³ and -8.7 kcal/mol,¹⁴ respectively. For lack of Cro data at 37°C, at which temperature CI and RNAP data are measured, these data are obtained at 20 °C and adjusted to 37 °C by a Botzmann factor

CI	ΔG_1	-12.5 ± 0.3
	ΔG_2	-10.5 ± 0.2
	ΔG_3	-9.5 ± 0.2
	ΔG_{12}	-2.7 ± 0.3
	ΔG_{23}	-2.9 ± 0.5
Cro	$\Delta G_{1'}$	-12.0 ± 0.1
	$\Delta G_{2'}$	-10.8 ± 0.1
	$\Delta G_{3'}$	-13.4 ± 0.1
	$\Delta G_{12'}$	-1.0 ± 0.2
	$\Delta G_{23'}$	-0.6 ± 0.2
	$\Delta G_{123'}$	-0.9 ± 0.2
RNAP	$\Delta G_{ m RM}$	-11.5 ± 0.5
	$\Delta G_{ m R}$	-12.5 ± 0.5

s	<i>O</i> _R 3		0 _R 2		$O_{R}1$	terms	GFE
1	0		0		0	Reference state	0
2	0		0		R	ΔG_1	-12.5
3	0		R		0	ΔG_2	-10.5
4	R		0		0	ΔG_3	-9.5
5	0		0		С	$\Delta G_{1'}$	-12.0
6	0		С		0	$\Delta G_{2'}$	-10.8
7	С		0		0	$\Delta G_{3'}$	-13.4
8	RNAP		0		0	$\Delta G_{ m RM}$	-11.5
9	0			RNAP		$\Delta G_{ m R}$	-12.5
10	0		R	\leftrightarrow	R	$\Delta G_1 + \Delta G_2 + \Delta G_{12}$	-25.7
11	R		0		R	$\Delta G_1 + \Delta G_3$	-22.0
12	R	\leftrightarrow	R		0	$\Delta G_2 + \Delta G_3 + \Delta G_{23}$	-22.9
13	0		С	\leftrightarrow	С	$\Delta G_{1'} + \Delta G_{2'} + \Delta G_{12'}$	-23.8
14	С		0		С	$\Delta G_{1'} + \Delta G_{3'}$	-25.4
15	С	\leftrightarrow	С		0	$\Delta G_{2'} + \Delta G_{3'} + \Delta G_{23'}$	-24.8
16	RNAP			RNAP		$\Delta G_{\rm RM}$ + $\Delta G_{\rm R}$	-24.0
17	0		С		R	$\Delta G_1 + \Delta G_{2'}$	-23.3
18	0		R		С	$\Delta G_{1'} + \Delta G_2$	-22.5
19	R		0		С	$\Delta G_{1'} + \Delta G_3$	-21.5
20	С		0		R	$\Delta G_1 + \Delta G_{3'}$	-25.9
21	R		С		0	$\Delta G_{2'} + \Delta G_3$	-20.3
22	С		R		0	$\Delta G_2 + \Delta G_{3'}$	-23.9
23	R			RNAP		$\Delta G_{\rm R} + \Delta G_3$	-22.0
24	RNAP		R		0	$\Delta G_2 + \Delta G_{\rm RM}$	-22.0
25	RNAP		0		R	$\Delta G_1 + \Delta G_{\rm RM}$	-24.0
26	С			RNAP		$\Delta G_{\rm R} + \Delta G_{3'}$	-25.9
27	RNAP		С		0	$\Delta G_{2'}$ + $\Delta G_{ m RM}$	-22.3
28	RNAP		0		С	$\Delta G_{1'}$ + $\Delta G_{ m RM}$	-23.5
29	R		R	\leftrightarrow	R	$\Delta G_1 + \Delta G_2 + \Delta G_3 + \Delta G_{12}$	-35.2
30	С	\leftrightarrow	С	\leftrightarrow	С	$\Delta G_{1'} + \Delta G_{2'} + \Delta G_{3'} + \Delta G_{123'}$	-37.1
31	С		R	\leftrightarrow	R	$\Delta G_1 + \Delta G_2 + \Delta G_{3'} + \Delta G_{12}$	-39.1
32	R		С		R	$\Delta G_1 + \Delta G_{2'} + \Delta G_3$	-32.8
33	R	\leftrightarrow	R		С	$\Delta G_{1'} + \Delta G_2 + \Delta G_3 + \Delta G_{23}$	-34.9
34	R		С	\leftrightarrow	С	$\Delta G_{1'} + \Delta G_{2'} + \Delta G_3 + \Delta G_{12'}$	-33.3
35	С		R		С	$\Delta G_{1'} + \Delta G_2 + \Delta G_{3'}$	-35.9
36	С	\leftrightarrow	С		R	$\Delta G_1 + \Delta G_{2'} + \Delta G_{3'} + \Delta G_{23'}$	-37.3
37	RNAP		R	\leftrightarrow	R	$\Delta G_1 + \Delta G_2 + \Delta G_{\rm RM} + \Delta G_{12}$	-37.2
38	RNAP		С	\leftrightarrow	С	$\Delta G_{1'} + \Delta G_{2'} + \Delta G_{\rm RM} + \Delta G_{12'}$	-35.3
39	RNAP		С		R	$\Delta G_1 + \Delta G_{2'} + \Delta G_{\rm RM}$	-34.8
40	RNAP		R		С	$\Delta G_{1'} + \Delta G_2 + \Delta G_{\rm RM}$	-34.0

Table 2. Gibbs free energies (GFEs) of the different protein associations to O_R of phage λ (in state *s*) of CI dimers (R),¹⁰ Cro dimers(C),¹¹ and RNAP.⁶ "0": empty site, " \leftrightarrow ": cooperative interaction, and "Terms": GFE terms according to Table 1. GFEs are measured in kcal/mol relative to the unbound state of zero GFE (reference state; *s* = 1)

different GFEs are randomly chosen in the parameter hyperspace and applied in the model simultaneously. This implies that for each GFE (see Table 1), we draw from a Gaussian distribution with standard deviation equal to the experimental uncertainty (indicated by \pm in Table 1).[67% confidence interval corresponds to a Gaussian distribution around the mean value with SD = experimental uncertainty.] Then, 13 new values for the GFE are obtained and the activities at both promoters are then evaluated. This procedure is performed 10³ times, which we checked to be significant to ensure reliable statistics, whereupon the mean value (mean) and standard deviation (SD) are calculated from this set. The latter value will reflect typical uncertainty of the

activities due to the experimental error of the GFEs. Here we define the sensitivity of the activity as the ratio between the standard deviation ensuing from the computational scattering and wild-type (unperturbed) activity.

Figure 1a shows how the parameter ΔG_1 is scattered around the mean value –12.5 kcal/mol, with SD of 0.3 kcal/mol as given in Table 1, for 1000 realizations (random draws). Figure 1b gives a corresponding example of how activity is spread due to variations of all GFEs in the same run. Note in this particular example that some of the scattered data points are shifted toward very low values leading to a mean value of the scattered activities which is lower (0.0077 s⁻¹) compared to the wild-type activity (0.0081 s⁻¹). However, as also dis-



Fig. 1. (a) Scattering of the protein–DNA binding energy ΔG_1 randomly drawn from a Gaussian distribution with mean of –12.5 kcal/mol (horizontal line) and SD of 0.3 kcal/mol (see Table 1). The latter value corresponds to 67% confidence intervals in the experiments. (b) Corresponding scattering of the activity, due to variations of all GFEs in the same run, at promoter $P_{\rm RM}$ for [CI₁] = 200 nM and zero Cro concentration (typical for a lysogen). Continous horizontal line (______) corresponds to wild type activity (0.0081 s⁻¹) and scattered horizontal line (- - - -) corresponds to the mean activity of the 1000 scattered values in this plot (0.0077 s⁻¹). "Event #" refers to the number in the series of the randomly drawn binding energies out of 1000 realizations.

tions, is not very pronounced. The obtained mean values are very close to the wild-type values. This is not obvious a priori because these values originate from random draws in a Gaussian distribution of the GFEs, which in turn enters exponents in the grand canonical partition function (eq 1) that might produce a skewness in the distribution of the activities around the mean. A general feature is that the SD relative to the wild-type activity, i.e., the sensitivity, is large and that the sensitivity is largest for a combination of moderate/large repressor concentrations and low activity. On the other hand, it is known from experiments that the robustness upon perturbations, in particular of the lysogenic state, is high.^{8,18,19} Thus, in light of these latter-mentioned studies, and despite the resulting large uncertainty of the activities due to the experimental error, as found here, a lysogen may still remain stable with respect to the perturbations.

cussed below, skewness, here and in the other simula-

In order to study the sensitivity of the activity around induction, i.e., at concentrations where CI production is replaced by Cro production, we perform an analogous scattered computation, as in Fig. 2, but this time the total Cro concentration ([Cro_t]) is 50 nM. The latter value



Fig. 2. Promoter activity versus total CI concentration for $[\text{Cro}_{l}] \approx 0.P_{\text{RM}}$ corresponds to *cI* activity and P_{R} corresponds to *cro* activity. Fully drawn curves ("wild-type") correspond to experimental GFE data listed in Table 1, where CI data are from Koblan and Ackers,¹⁰ Cro data from Darling et al.,¹¹ and RNAP data from Ackers et al.⁶ Promoter activity corresponds to the number of RNAP–DNA complexes that become transcriptionally active per second. "Scattering" (×) are mean values of the activities obtained from the computational scattering (described in text) associated with standard deviations (only indicated for deviations >0.3 × 10⁻³ s⁻¹). Thin vertical line indicates lysogenic concentration (≈200 nM). Abscissa is drawn on logarithmic (decadic) scale.



Fig. 3. Promoter activity versus total CI concentration for $[Cro_t] \approx 50$ nM. See also caption of Fig. 2.

may represent a typical Cro concentration around induction.^{7,17} Compared with $[Cro_t] \approx 0$ the sensitivity of the activity is higher in this concentration regime (see Fig. 3).

Accordingly, the activities of both P_{RM} and P_{R} are also reduced, which is reasonable because an increased Cro concentration implies increased Cro occupancy at both promoters, and transcription occurs less frequently. We also test the case [Cro_t] ≈ 200 nM (typical lytic concentration), that leads to smaller activity than the two previous cases. Due to the small activities, the sensitivity is high in this case.

Figures 2 and 3 present the sensitivity of the activity for a given Cro concentration versus CI concentration. However, this might be done in a more compact way, as shown in the following. The rate of Cro production may be written as (used to produce Fig. $4)^{7.8}$

$$\frac{d\left[\mathrm{Cro_t}\right]}{dt} = 10^{-9} S R p_{\mathrm{R}} - \frac{\left[\mathrm{Cro_t}\right]}{\tau_{\mathrm{dil}}} - \frac{\left[\mathrm{Cro_t}\right]}{\tau_{\mathrm{deg}}} \quad (2)$$

where [Cro₁] is the total Cro concentration in nM. $S \approx 20$ is the average number of Cro made from each transcript and $R \approx 2.5 \times 10^{-2} \text{ s}^{-1}$ is the rate of transcript initiation, both estimated by Aurell et al.⁸ $p_{\rm R}$ is the probability of RNAP occupancy of promoter $P_{\rm R}$ calculated from eq 1, $\tau_{\rm dil} \approx 34$ min is the life time of a cell generation,¹⁹ and $\tau_{\rm deg} \approx 2600 \text{ s}$ is the in vivo half-life time of Cro due to degradation.²⁰ The prefactor 10^{-9} is simply a conversion factor when going from numbers (of proteins) to concentrations, assuming an average cellular volume of 2×10^{-15} liters.

We now assume Cro production to be in equilibrium, i.e., $d[Cro_t]/dt = 0$ in eq 2, which is a reasonable as-



Fig. 4. (a) Total Cro concentration vs. total repressor concentration (logarithmic scale) where Cro concentration is determined self-consistently via the equilibrium ansatz $d [Cro_i]/dt = 0$ in eq 2. (b) Promoter activity versus total CI concentration where Cro concentration is determined self-consistently. Note that the rise of the $P_{\rm RM}$ curve (around 50 nM) is much sharper compared to the situation in Figs. 2 and 3, indicating a larger cooperativity when the Cro concentration is determined in the self-consistent way (feedback).

sumption because the Cro production occurs on a timescale of seconds, while, for instance, a cell generation is of the order of half an hour.³ Thus, for a given repressor concentration we are now able to estimate the Cro concentration (see Fig. 4a). One should note that the parameters in eq 2 are associated with large uncertainty (~20%); however, this method is a valuable supplement to the presentation in Figs. 2 and 3.

Above, we investigated the sensitivity of the activity of the promoters by assuming a fixed Cro concentration (Figs. 2 and 3). In Fig. 4b we show the sensitivity of the activity of the promoters by applying the self-consistent method that corresponds to Fig. 4a. The activity at $P_{\rm R}$ is reduced for [CI_t] < 10 nM, compared with the situation in Figs. 2 and 3. This makes sense because, from Fig. 4a, $[Cro_t] \approx 150 \text{ nM}$ for $[CI_t] < 10 \text{ nM}$, resulting in a selfrepression of Cro. P_{RM} is also repressed by Cro in this concentration regime, leading to a zero activity. We find that the sensitivity of the activity is at the same level as in the previous analysis, with a standard deviation of the activity relative to the wild-type activity > 20%.

Finally, we implement the computational scattering method with a flat distribution in an interval $\pm 1.5 \times \text{SD}$, where SD is the standard deviation in Table 1, which corresponds to 67% confidence intervals. For example, ΔG_2 is drawn at random in the interval from -10.8 kcal/mol to -10.2 kcal/mol. This results in a mean value of the activity similar to the wild-type and a sensitivity of the same order as obtained in the Gaussian scattering presented. Thus, the random scattering method seems to be rather insensitive to the functional form of the random drawing distribution function.

4. SUMMARY AND CONCLUSION

The main purpose of this work was to study the sensitivity of the production rates (activities) of the regulatory proteins CI and Cro associated with $O_{\rm R}$ (a genetic switch) in phage λ . The bindings of these regulatory proteins and RNA polymerase to DNA are assumed to be in equilibrium. Thus, by applying a grand canonical approach (statistical open system, as presented in eq 1),^{6,9} we are able to find the probability of binding to $O_{\rm R}$, whereupon we calculate the rates of CI and Cro production (activities). We perform a computational scattering during which each of the 13 different protein-DNA binding energies are randomly drawn from a Gaussian distribution with mean equivalent to wild-type GFE and standard deviation corresponding to experimental error. Then, the corresponding activities associated with promoters $P_{\rm RM}$ and $P_{\rm R}$ are calculated. This is performed 10^3 times, whereupon the mean and standard deviation of the resulting activities are evaluated at a given repressor concentration.

The mean value emerging from this computational scattering scheme is in general close to wild-type activity, where the latter is calculated from the experimentally (wild-type) given values. The relative sensitivity of the activity, defined as the ratio between the standard deviation ensuing from the "scattering" and wild-type (unperturbed) activity, is in most cases >20%. The sensitivity of the $P_{\rm RM}$ activity for a lysogen, where CI concentration typically is around 200 nM while Cro concentration is zero, is around 20%. Thus, according to Bailone et al.,¹⁸ perturbations of the activities of the size as performed in this work (0.1–0.5 kcal/mol) are not

enough to destabilize a lysogen. The $P_{\rm R}$ activity for a lysogen is highly sensitive; however one should note that wild-type activity of $P_{\rm R}$ is negligible here. Around induction, where both CI and Cro concentrations are at comparable levels (25–50 nM), the sensitivity of the activity is high (>50%). The latter is also the case in the lytic regime where Cro is dominating. Despite the relatively large error, the activities of the two promoters seem to be separated within the error (see Figs. 2–4), making the switch feasible.

We note that the perturbations performed here may to some extent take into account cell-to-cell variations of the concentrations of the proteins, i.e., noise, which effectively may be viewed as variations in the binding energies. However, in order to study noise systematically one should, in the same manner as we scattered GFEs randomly, choose the protein concentrations at random.^{8,21,22}

We also make an equilibrium ansatz for Cro production, by which we are able to calculate, for a given Cro concentration, the corresponding repressor concentration. This method leads to a more "compact" presentation of data, because then only the CI concentration is a real variable due to the fact that the Cro concentration is implicitly given, or vice versa. The sensitivity of the activity of the two promoters, due to the latter method, is of the same size as we previously obtained in this work with fixed Cro concentrations.

Acknowledgments. K.S. acknowledges discussions with I. Dodd. R.M. would like to thank Joshua Jortner and the organizing committee, Stephen Berry, Ori Cheshnovsky, Joseph Klafter, Abraham Nitzan, and Stuart Rice for the invitation to the Conference, and an interesting and illustrious JortnerFest.

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